

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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S E P 1 7 2 0 0 4
P A T E N T & T R A D E M A R K A P P L I C A T I O N
A P P L I C A N T: Dong, et al.
S E R I A L N U M B E R: 10/761,667
F I L I N G D A T E: J a n u a r y 2 1, 2 0 0 4
F O R: F A C I L I T R E C O G N I T I O N S Y S T E M A N D M E T H O D

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

1. Response to Notice to File Missing Parts (1 page);
2. Copy of Notice to File Missing Parts of Provisional Application (2 pages);
3. Executed Declarations and Powers of Attorney for each inventor (2 counterparts, 3 pages each, TOTAL: 6 pages);
3. Check No. 19344 in the amount of \$108.00 to cover the surcharge;
4. Request for Three-Month Extension of Time (1 page);
5. Check No. 19343 in the amount of \$475.00; and
6. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 26241-013. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,



C. Eric Schulman, Reg. No. 43,350
Attorney for Applicant
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241
Customer No. 30623

Dated: September 17, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dong, et al.

SERIAL NUMBER: 10/761,667

EXAMINER: Not Yet Assigned

FILING DATE: January 21, 2004

ART UNIT: Not Yet Assigned

FOR: FACIAL RECOGNITION SYSTEM AND METHOD

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RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts of Non-Provisional Application mailed on April 26, 2004, Applicant submits herein a Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts, a check in the amount of \$108.00 to cover the fee due in connection with this filing, executed Declarations and Powers of Attorney for each inventor and Petition for Three-Month extension of time. With the extension, a response is due by September 26, 2004. Petition fee in the amount of \$475.00 is also enclosed.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 26241-013).

Respectfully submitted,

C. Eric Schulman Reg. No. 43,350
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Dated: September 17, 2004

09-20-04

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Express Mail Label No.: EV392162040US

Date of Deposit: September 17, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/761,667	01/21/2004	Kenneth Dong	26241-013

CONFIRMATION NO. 3419

FORMALITIES LETTER



OC000000012443774

Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 04/26/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$43** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$108** for a Small Entity

- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$43**

- **\$43** for 1 independent claims over 3.

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01 FC:2051
02 FC:2201

65.00 OP
43.00 OP

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Z. Aray
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE